



McCONNELL & JONES LLP
CERTIFIED PUBLIC ACCOUNTANTS

INTERNAL AUDIT SERVICES



STATUS REPORT TO THE COMMISSIONERS

APRIL 12, 2018

INFORMATION ITEM – NO ACTION REQUIRED



Internal Audit Activities

Internal Audit Services' activities during this period (January 20, 2018 through March 31, 2018) include:

- Completed the CBOD Education Program Audit
- Participated in CBOD paperwork reduction committee meetings
- Participated in CBOD stakeholder meetings
- Continued the Personal Identifiable Information Handling Audit
 - Employee, License Holders, Contractors and Prize Winners
 - Data Protection
 - Data Classification
 - Staff Data Handling Training
 - Data Handling and Security Policies
- Began the Social Responsibility Audit planning

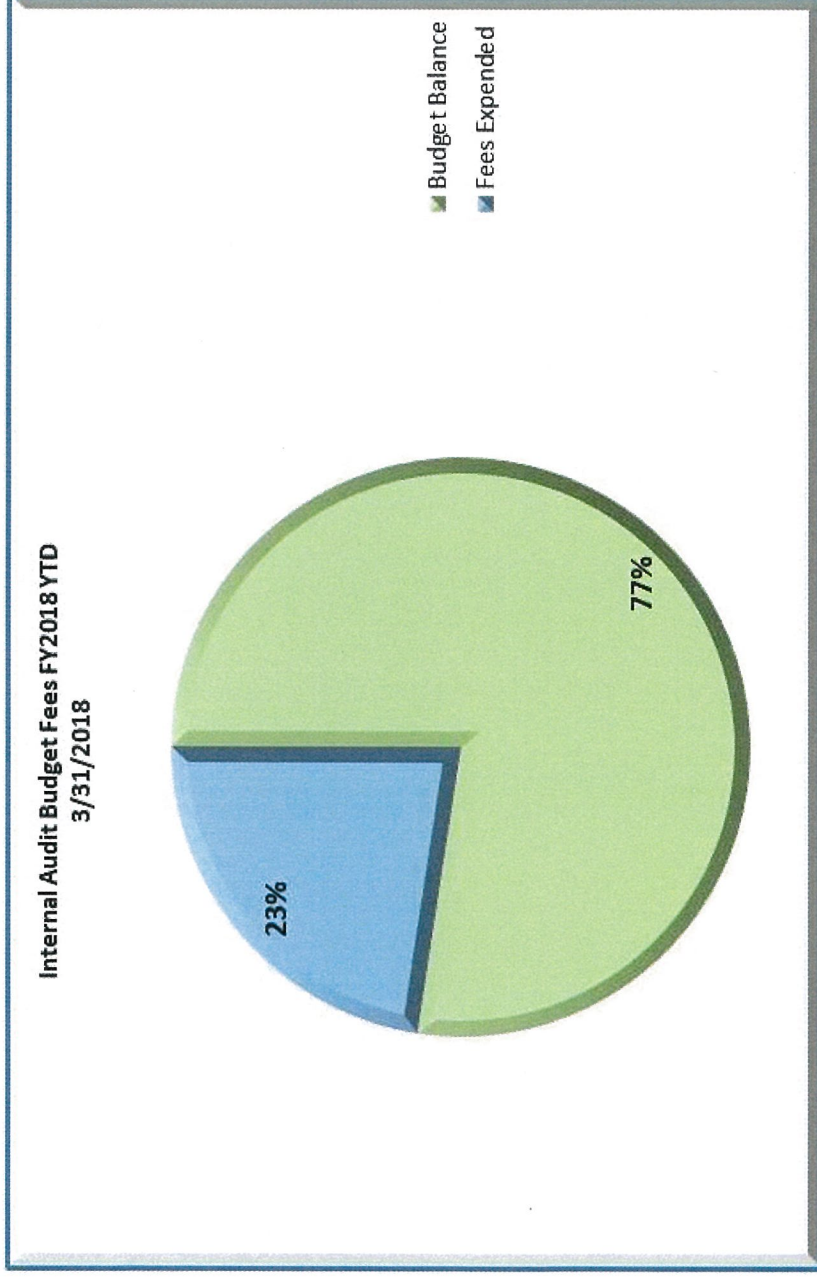
Fiscal Year 2018 Internal Audit Plan Status

Activity #	Audit	Planning	Fieldwork	Draft Report	Mgmt. Comments	Final Report	Issued
1	CBOD Education Program						
2	Personal Identifiable Information Handling						
3	Social Responsibility						
4	Drawing Equipment Maintenance						
5	Unclaimed Prize Processes						
6	Instant Game Closing Processes						
7	Main and Mail Room Processes						
8	Follow-Up On Prior Audit Findings						
9	Monitor of Fraud/Complaint Hotlines Lines						
10	External Audit / Review Assistance						
11	Update Risk Assessment & Develop 2019 Audit Plan						
12	Annual Audit Report						

Legend:  Not Started  In-Progress
 Completed  Continuous



FY 2018 Internal Audit Budget as of March 31, 2018



MJ is cognizant of the importance of managing the approved hours and budget. As such we are diligent about staying within the estimated hours per audit and activity. There may be instances where audits/activities are over or under estimated hours and we will adjust the audit plan budget accordingly to stay within the total approved budget.



Anticipated Activities Next Period

- Finalize the CBOD Education Program Audit Report
- Complete the Personal Identifiable Information Handling Audit
- Commence Social Responsibility Audit
- Commence Drawing Equipment Maintenance Audit