





McCONNELL & JONES LLP  
CERTIFIED PUBLIC ACCOUNTANTS

# INTERNAL AUDIT SERVICES



## INTERNAL AUDIT REPORTS OCTOBER 21, 2021

Information Items



# FY 2022 Internal Audit Plan Status

## FY 2021 Audit Plan Status

### Schedule

Overall Status: **Green** Percentage Complete 5%

### Approved Audits and Activities

Audit #	Description	Percent Completed	Status
20-005	Background Investigations of Vendor Staff	90%	Reporting
22-001	Retailer Survey Process	1%	In-Progress
22-002	Confidential Data	0%	Not Started
22-003	Ticket and Game Management	0%	Not Started
22-004	Agency Performance Measures	0%	Not Started
22-005	Jackpot Estimation System	0%	Not Started
22-006	Claims and Prize Payment Management	0%	Not Started
22-007	Drawings Studio Operations	0%	Not Started
22-008	Game Changes (Aurora)	0%	Not Started

### Approved Audits and Activities

General Procedures - No Report	Promotional Game Tickets - Procedures Review	100%	Completed
General Procedures - No Report	Information System User Access Monitoring	0%	Not Started
General Procedures - No Report	Follow-Up On Prior Audit Findings	10%	In-Progress
General Procedures - No Report	Monitor Ethics Line	10%	In-Progress
General Procedures - No Report	External Audit / Review Assistance	0%	Not Started
FY 2023 Annual Internal Audit Plan	Update Risk Assessment & Develop Audit Plan	0%	Not Started
FY 202 Annual Internal Audit Report	Annual Audit Report	0%	Not Started
General Procedures - No Report	Audit Communications	5%	In-Progress
21-008	Charitable Bingo Operations Division Ledger Account Reconciliations - Newly Approved Addition to FY 2021 Annual Internal Audit Plan	65%	In-Progress

Sept. 30, 2021

Budget Balance:

- 1,778 Hours (99% of approved budget)
- \$292,872 (99% of approved budget)



# Internal Audit Activities

## Internal Audit Activities Completed This period:

- Continued Charitable Bingo Operations Division Ledger Account Reconciliations (1,675 completed as of October 1, 2021)
- Reviewed Promotional Ticket policy and procedures. No issues identified.
- Began planning Confidential Data Audit.

## Internal Audit Activities Anticipated Next Period:

- Continue working on the Charitable Bingo Operations Division Ledger Account Reconciliations.
- Begin Confidential Data Audit
- Begin Retailer Survey Procedures Audit