



McCONNELL & JONES LLP
CERTIFIED PUBLIC ACCOUNTANTS

INTERNAL AUDIT SERVICES



INTERNAL AUDIT REPORTS DECEMBER 16, 2021

Information Items



FY 2022 Internal Audit Plan Status

FY 2022 Audit Plan Status

Schedule

Overall Status: **Green** Percentage Complete 15%

Approved Audits and Activities

Audit #	Description	Percent Completed	Status
20-005	Background Investigations of Vendor Staff	90%	Reporting
22-001	Retailer Survey Process	100%	Completed
22-002	Confidential Data	1%	On-Hold
22-003	Ticket and Game Management	1%	Planning
22-004	Agency Performance Measures	0%	Not Started
22-005	Jackpot Estimation System	0%	Not Started
22-006	Claims and Prize Payment Management	0%	Not Started
22-007	Drawings Studio Operations	0%	Not Started
22-008	Game Changes (Aurora)	0%	Not Started

Approved Audits and Activities

General Procedures - No Report	Promotional Game Tickets - Procedures Review	100%	Completed
General Procedures - No Report	Information System User Access Monitoring	0%	Not Started
General Procedures - No Report	Follow-Up On Prior Audit Findings	15%	In-Progress
General Procedures - No Report	Monitor Ethics Line	10%	In-Progress
General Procedures - No Report	External Audit / Review Assistance	0%	Not Started
FY 2023 Annual Internal Audit Plan	Update Risk Assessment & Develop Audit Plan	0%	Not Started
FY 202 Annual Internal Audit Report	Annual Audit Report	0%	Not Started
General Procedures - No Report	Audit Communications	5%	In-Progress
21-008	Charitable Bingo Operations Division Ledger Account Reconciliations - Newly Approved Addition to FY 2021 Annual Internal Audit Plan	65%	In-Progress

Nov. 19, 2021 Budget Balance:

- 1,925 Hours (89% of approved budget)
- \$283,748 (95% of approved budget)



Internal Audit Activities

Internal Audit Activities Completed This period:

- Continued Charitable Bingo Operations Division Ledger Account Reconciliations (1,876 completed as of November 19, 2021).
- Reviewed revised retailer survey process and survey results. No Issues Identified.
- Began planning for the Ticket and Game Management audit.

Internal Audit Activities Anticipated Next Period:

- Continue working on the Charitable Bingo Operations Division Ledger Account Reconciliations.
- Begin the Ticket and Game Management audit.
- Begin planning for the Agency Performance Measures audit.