



McCONNELL & JONES LLP
CERTIFIED PUBLIC ACCOUNTANTS

INTERNAL AUDIT SERVICES



INTERNAL AUDIT REPORTS FEBRUARY 10, 2022

Information Items



FY 2022 Internal Audit Plan Status

FY 2022 Annual Internal Audit Plan Status

Schedule

Overall Status: **Green** Percentage Complete 20%

Approved Audits and Activities

Audit #	Description	Percent Completed	Status
20-005	Background Investigations of Vendor Staff	90%	Reporting
22-001	Retailer Survey Process	100%	Completed
22-002	Confidential Data	5%	Planning
22-003	Ticket and Game Management	5%	In-Progress
22-004	Agency Performance Measures	10%	In-Progress
22-005	Jackpot Estimation System	0%	Not Started
22-006	Claims and Prize Payment Management	0%	Not Started
22-007	Drawings Studio Operations	0%	Not Started
22-008	Game Changes (Aurora)	0%	Not Started

Approved Audits and Activities

General Procedures - No Report	Promotional Game Tickets - Procedures Review	100%	Completed
General Procedures - No Report	Information System User Access Monitoring	25%	In-Progress
General Procedures - No Report	Follow-Up On Prior Audit Findings	15%	In-Progress
General Procedures - No Report	Monitor Ethics Line	10%	In-Progress
General Procedures - No Report	External Audit / Review Assistance	0%	Not Started
FY 2023 Annual Internal Audit Plan	Update Risk Assessment & Develop Audit Plan	0%	Not Started
FY 202 Annual Internal Audit Report	Annual Audit Report	0%	Not Started
General Procedures - No Report	Audit Communications	20%	In-Progress
21-008	Charitable Bingo Operations Division Ledger Account Reconciliations - Newly Approved Addition to FY 2021 Annual Internal Audit Plan	65%	In-Progress

January 28, 2022 Budget Balance:

- 1,622 Hours (75% of approved budget)
- \$267,029 (89% of approved budget)



Internal Audit Activities

Internal Audit Activities Completed This period:

- Continued Charitable Bingo Operations Division Ledger Account Reconciliations (1,876 completed as of November 19, 2021).
- Began the Ticket and Game Management audit.
- Begin the Agency Performance Measures audit.
- Begin Confidential Data Management audit.
- Reviewed network access controls. No issues identified.

Internal Audit Activities Anticipated Next Period:

- Continue working on the Charitable Bingo Operations Division Ledger Account Reconciliations.
- Continue the Ticket and Game Management audit.
- Continue the Agency Performance Measures audit.
- Continue Confidential Data Management audit.