



McCONNELL & JONES LLP
CERTIFIED PUBLIC ACCOUNTANTS

INTERNAL AUDIT SERVICES



INTERNAL AUDIT REPORTS AUGUST 11, 2022

Information Items



FY 2022 Internal Audit Plan Status

Approved Audits and Activities			
Audit #	Description	Percent Completed	Status
20-005	Background Investigations of Vendor Staff	90%	Reporting
22-001	Retailer Survey Process	100%	Completed
22-002	Confidential Data	40%	In-Progress
22-003	Ticket and Game Management	50%	In-Progress
22-004	Agency Performance Measures	100%	Completed
22-005	Jackpot Estimation System	0%	Not Started
22-006	Claims and Prize Payment Management	0%	Not Started
22-007	Drawings Studio Operations	0%	Not Started
22-008	Game Changes (Aurora)	0%	Not Started
Approved Audits and Activities			
General Procedures - No Report	Promotional Game Tickets - Procedures Review	100%	Completed
General Procedures - No Report	Information System User Access Monitoring	50%	In-Progress
General Procedures - No Report	Follow-Up On Prior Audit Findings	40%	In-Progress
General Procedures - No Report	Monitor Ethics Line	80%	In-Progress
General Procedures - No Report	External Audit / Review Assistance	5%	In-Progress
FY 2023 Annual Internal Audit Plan	Update Risk Assessment & Develop Audit Plan	0%	Not Started
FY 202 Annual Internal Audit Report	Annual Audit Report	0%	Not Started
General Procedures - No Report	Audit Communications	80%	In-Progress
21-008	Charitable Bingo Operations Division Ledger Account Reconciliations - Newly Approved Addition to FY 2021 Annual Internal Audit Plan	95%	In-Progress

July 15, 2022 Budget Balance:

- 598 Hours (28% of approved budget)
- \$180,148 (60% of approved budget)



Internal Audit Activities

Internal Audit Activities Completed This period:

- Continued Charitable Bingo Operations Division Ledger Account Reconciliations.
- Continued Ticket and Game Management audit.
- Continued Confidential Data Management audit.
- Began annual risk assessment.

Internal Audit Activities Anticipated Next Period:

- Finalize the Charitable Bingo Operations Division Ledger Account Reconciliations.
- Complete the Ticket and Game Management audit.
- Complete Confidential Data Management audit.
- Complete annual risk assessment and draft proposed FY2023 Annual Internal Audit Plan.
- Begin Jackpot Estimation System audit.
- Complete draw studio audit.