

INTERNAL AUDIT SERVICES



INTERNAL AUDIT REPORTS FEBRUARY 15, 2023

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Physical Security New Office Operations Audit

Audit	Audit Rating	Number of Recommendations
23-003 Physical Security New Office Operations	Some Improvement Needed	8

TLC has implemented numerous best practice controls to ensure physical security of the new office and operations. The findings we identified are primarily related to things that the Texas Facilities Commission (TFC) needs to address. We noted that TFC has not fully installed or implemented measures to ensure stability of power and internet services to the facility. This has caused disruption to TLC's operations, including timely processing of prize claims. Additionally, TFC has not adequately protected the common data room where TLC's information technology servers and equipment reside. TFC also has not provided TLC with access to the badge reader system so that they can generate access reports when needed nor does TFC provide these reports in a timely manner. TFC has also not provided a comprehensive listing of who has physical keys, including the building contractors. Our report discusses additional findings and recommendations which we will discuss at the February 15, 2023, Commission Meeting.

A copy of the full report was provided to Commissioners via email.



Internal Audit Activities

Internal Audit Activities Completed This period:

- Completed New Facilities Operations and Security Audit.
- Continued Key Vendor SOC User Control Considerations (UCC) audit.

Internal Audit Activities Anticipated Next Period:

- Complete Key Vendor SOC User Control Considerations (UCC) audit.
- Begin Business Resiliency audit.